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Maryann R. Johnson

PATENT

Attorney Docket: 63033.800US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James K. Beriker

Serial No.: 10/029,483

Filed: December 20, 2001

For: A SYSTEM, METHOD AND
APPARATUS FOR DYNAMIC
TRAFFIC MANAGEMENT ON A
NETWORK

Group Art Unit: (to be assigned)

Examiner: (to be assigned)

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.56, 1.97 AND 1.98

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or **before** the mailing date of a first Office Action on the merits, whichever occurs last. 37 C.F.R. §1.97(b).
2. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or **after** the mailing date of the first Office Action on the merits, but **before** the mailing date of either (1) a final action under §1.113 or (2) a note of allowance under §1.311, whichever occurs first.

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CERTIFICATION OR FEE

A. Included with this transmittal is:

i. ☐ a certification (set below) in accordance with 37 C.F.R. §1.97(e)

OR

ii. ☐ the attached fee set forth in 37 C.F.R. §1.17(p) for submission of an Information Disclosure Statement under §1.97(c) (\$210.00).

3. ☐ The Information Disclosure Statement transmitted herewith is being filed after a final action under §1.113 or a Notice of Allowance under §1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee.

CERTIFICATION, PETITION AND FEE

A. In accordance with the requirements of 37 C.F.R. §1.97(d):

i. Set forth below is a certification as specified in 37 C.F.R. §1.97(e).

ii. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. §1.97(d)(ii).

iii. Applicant submits the petition fee set forth in §1.17(i)(1) \$130.00).

CERTIFICATION

(Required if 2Ai or 3 above is marked.)

4. I, the person signing below, certify

☐ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

Serial No.: 10/029,483

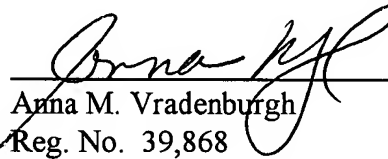
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

5. ☐ The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 19-3725. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: 11/20/02

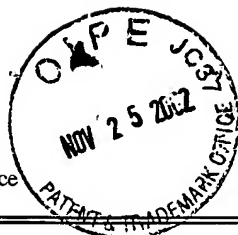
By:


Anna M. Vradenburgh
Reg. No. 39,868

AMV/mrj

Enclosure: form PTO-1449

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U.S. Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No. : 63033.800US01	Serial No. 10/029,483
Applicant: James K. Beriker		
Filing Date: December 20, 2001		Art Group: (to be assigned)

U.S. PATENT DOCUMENTS

Examiner Initial	A	5	3	0	9	3	5	9	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	A	5	3	0	9	3	5	9	5/3/1994	Katz			
	B	5	4	0	4	2	9	5	4/4/1995	Katz			
	C	5	7	2	1	9	0	2	2/24/1998	Schultz			
	D	5	7	2	4	5	2	1	3/1998	Debrick			
	E	5	8	3	5	0	8	7	11/10/1998	Herz et al.			
	F	5	8	7	3	0	7	6	2/15/1999	Barr			
	G	5	8	9	3	0	9	3	4/6/1999	Wills			
	H	5	9	1	3	2	1	5	6/1999	Rubinstein et al.			
	I	5	9	2	6	8	1	1	7/1999	Miller et al.			
	J	5	9	3	3	8	1	1	8/1999	Angles et al.			
	K	5	9	5	6	7	1	1	9/21/1999	Sullivan			
	L	5	9	6	0	4	2	2	9/28/1999	Prasad			
	M	5	9	6	6	1	2	6	10/12/1999	Szabo			
	N	5	9	7	4	4	1	3	10/26/1999	Beauregard			
	O	6	0	7	3	1	6	7	6/6/2000	Poulton et al.			
	P	6	1	0	1	4	9	2	8/2000	Jacquemin et al.			
	Q	6	1	2	8	6	6	3	10/2000	Thomas			
	R	6	1	9	5	6	6	0	2/27/2001	Polnerow et al.			
	S	6	2	5	6	6	3	3	7/2001	Dharap, Chanda			

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FOREIGN PATENT DOCUMENTS

		WO	99/	0	6	9	2	4	Date	Country	Class	Sub-Class	Translation Yes/No
	T	WO	99/	0	6	9	2	4	2/11/1999	PCT			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

